## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Chien et al.

Title:

Method for the Inhibition of Phospholamban Activity for the Treatment of Cardiac Disease and

Heart Failure

Appl. No.:

09/830,779

Filing Date:

11/30/2001

Examiner:

Duffy, P.

Art Unit:

1642

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Rachel Caputo (Printed Name)

# INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

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### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c).

#### RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

### **FEE**

A fee in connection with submission of a supplemental information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) can be charged to Deposit Account No. 50-0872.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Date

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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PE A Substitute for form	n 1449B/PTO	Complete if Known		
BE COMMATION I	ISCLOSURE	Application Number	09/830,779	
TATEMENT BY	APPLICANT	Filing Date	11/30/2001	
Data Submitted:	April 29 2006	First Named Inventor	Kenneth R Chien	
APR - Dale Submitted.	Date Submitted: April 28, 2006		1642	
(us s many sheet	s as necessary)	Examiner Name	Duffy, P.	
SPECIA TRANSPORT	of 1	Attorney Docket Number	041673-1201	

U.S. PATENT DOCUMENTS							
	0	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Fore Office <sup>3</sup>	ign Patent D Number <sup>4</sup>	Oocument Kind Code <sup>5</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A1	S. R. Bailey "Local Drug Delivery: Current Applications," Progress in Cardiovascular Diseases 40:2: 183-204 (1997).	
	A2	Chen et al. "Molecular transporters for peptides: delivery of a cardioprotective <sub>€</sub> PKC agonist peptide into cells and intact ischemic heart using a transport system, R <sub>7</sub> ," Chemistry & Biology 8(12): 1123-1129 (2001).	
	A3	Derossi et al. "Cell Internalization of the Third Helix of the Antennapedia Homeodomain is Receptor-Independent," J. Bio. Chem. 271(30): 18188-18193 (1996).	
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Examiner Date	
Signature Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached